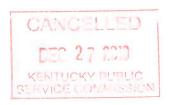
FOR Nicholas County, 1	Kentucky
P.S.C. Ky. No.	2
Revised Sheet No.	4
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RULES AND REGULATIONS

TERRITORY TO WHICH RULES, REGULATIONS AND SERVICE CLASSIFICATIONS APPLY:

The Rules, Regulations, and Service Classifications contained in this tariff apply in the following:

That area of Nicholas County, Kentucky being provided water service by the Nicholas County Water District.



PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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FOR Nicholas County,	Kentucky
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DEFINITIONS APPLICABLE TO RULES AND REGULATIONS:

- (a) "Customer" shall mean any person, firm, corporation or municipality supplied by water service pursuant to these Rules and Regulations.
- (b) "Bona fide prospective customer" shall mean any owner or lessee who is to be the occupant of an existing developed premises having a curb line abutting on that part of a street or public highway in which there is, or is to be, located a distribution main of the Utility, who shall file a signed application for a new street service connection and for water service to such premises to be occupied.
- (c) "Utility" shall mean the Nicholas County Water District acting through its managers, or other duly authorized employees or agents.
- (d) "Street service connections" shall mean a pipe with appurtenances used to conduct water from a distribution main of the Utility to the curb line of the premises.
- (e) "Premises" shall mean and include:
 - a building under one roof and occupied as one business or residence and served through one street service connection; or
 - (2) a combination of buildings in common ownership in one common enclosure or on a single tract of land not crossed by public streets, roads, or ways and occupied by one family or business and served through one street connection or through more than one such connection if in the exclusive discretion of the Utility, multiple connections are advisable in providing service; or
 - (3) one side of a double house having a solid vertical partition wall or each unit of a series of what are commonly known as row houses, each unit being occupied by one family or business and each served through one street service property COMMISSION OF KENTUCKY EFFECTIVE

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FOR Nicholas County, 1	Kentucky
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RULES AND REGULATIONS

DEFINITIONS Cont'd.

- (4) a building having a number of apartments or offices and using halls and means of entrance in common, and served through one street service connection; or
- (5) a building previously erected as a single family residence served through one street service connection and subsequently converted into apartments or offices or a combination of such, with two or more separate halls and means of entrance not used in common, and where separate water supply plumbing would not be practicable; or
- (6) each residential or business single occupancy unit, served through one street service connection, in a building which is not a premises otherwise defined in these Rules.
- (7) Rates are based on single family residences or multi-family units and are not applicable to other single family residences or multi-family units. Where two or more residences or multi-family units are served through one street connection the Utility may allow service to be taken through one meter if the segregation of plumbing on customer's premises would involve undue expense to the customer, but in this event the unit blocks and minimum bills of rate would be multiplied by such number of residences or units.

PROVIDED: They are located on lots having curb line abutting on that part of a street or public highway in which there is, or is to be, located a distribution main of the Utility extending for at least one-half of the frontage of the lot on said street or highway.



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RULES AND REGULATIONS

RULES AND REGULATIONS GOVERNING RENDERING OF SERVICE:

- (a) The Rules and Regulations in their entirety as hereinafter set forth or as they may hereafter be altered or amended in a regular and legal manner shall govern the rendering of water service and every customer upon signing of an application for water service or upon the taking of water service will be bound thereby.
- (b) Except fire and special temporary services, all service will be rendered on a meter basis. Residential, commercial, industrial and municipal service is only regularly available for single premises as "premises" is defined in these Rules. When the interests of other customers would not be jeopardized or prejudiced, the Utility's President or a Vice President may, by writing, authorize service at regular rates to governmental or political corporations, districts, or authorities not qualifying as "premises" under these Rules. In special cases, for good cause shown, the Public Service Commission may permit deviations from this Regulation.



PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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FOR Nicholas County,	<u>Kentucky</u>
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RULES AND REGULATIONS

APPLICATIONS FOR WATER SERVICE

(a) <u>New Street Service Connection</u>

Upon written application by the owner, or his properly authorized agent, on forms furnished by the Utility, for the immediate and continuous supply of water to premises having a curb line abutting on that part of a public street or highway in which a distribution main of the Utility is located, the Utility will install, own and maintain the street service connection to such premises. The Utility shall determine the size of all street service connections.

(b) <u>Existing Street Service Connection</u>

When any person, firm or corporation, not theretofore taking water service from the Utility, applies for water service, the application shall be in writing on forms supplied by the Utility. When such application is accepted by the Utility, it shall constitute a contract between the applicant and the Utility for service at the premises named in the application and at any other premises at which the named applicant may be securing service unless a separate application for service to such other premises shall have been accepted by the Utility.

Any change in the location of the contracting customer to any other premises will require notification of the Utility by the Customer. The obligation of the customer to the Utility under the existing contract will continue in full force and effect at the new location, premises or address.

The Utility shall have the right to discontinue the supply of water to any premises if the owner or occupant does not have a contract for such service with the Utility. Within twenty-four (24) hours after such termination, the Utility shall send written notification to the Customer of the reason or reasons for the termination of service.

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RULES AND REGULATIONS

USE OF WATER IN ACCORDANCE WITH APPLICATION:

No person or persons, corporation or partnership receiving water service from the Utility will be permitted to use water for any other purposes than that for which they shall have contracted to pay as shown by their application, nor shall they supply water in any way to any other party or parties without a written permit from the President or a Vice President of the Utility. An accepted application for water service to any premises shall constitute a license to the applicant to take and receive a supply of water for said premises but only for the uses specified in such applications and the supply shall not be used except for the premises specified in the application.

4. SPECIAL APPLICATIONS FOR WATER SERVICE:

- (a) Water for transient, temporary or special services must be specially applied for.
- (b) Water for building or construction purposes, when not furnished on an application for regular metered service, will be supplied under special application.

5. CUSTOMER'S LIABILITY FOR CHARGES:

A customer who has made application for water service to any premises shall be held liable for all water service furnished to such premises. Any customer desiring service terminated or changed from one address to another shall give the Utility three (3) working days' notice in person, in writing or by telephone.

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RULES AND REGULATIONS

6. STREET SERVICE CONNECTIONS:

- (a) The Utility will make all connections to its mains and will specify the size, kind and quality of all materials entering into the street service connections.
- (b) The corporation cock, meter box and the street service pipe from the street main to the curb line or meter box shall be furnished and installed by and shall be the property of the Utility and under its sole control and jurisdiction.
- (c) Where a street service connection is already laid to the curb line, or meter box, the Customer shall connect with the street service connection as laid.
- (d) The meter box shall be set in sidewalk or just inside customer's property on a level with the grade of the sidewalk or yard and shall be kept accessible at all times.
- (e) The street service connection from the main to and including the meter box will be maintained by the Utility at its expense.



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RULES AND REGULATIONS

CUSTOMER'S SERVICE PIPES:

- (a) The Utility will specify the size, kind and quality of the materials which shall be laid between the curb line and the structures on the premises to be supplied.
- (b) The service pipe from the meter box to the place of consumption shall be furnished and installed by the Customer at his expense and risk.
- (c) The Customer's service pipe and all connections and fixtures attached thereto shall be subject to the inspection and approval of the Utility before the water will be turned on.
- (d) The service pipe shall be laid below the frost line at all points and shall be placed on firm and continuous earth so as to give unyielding and permanent support, and shall be installed in a trench at least two feet in a horizontal direction from any other trench wherein are laid gas pipe, sewer pipe, or other facilities, public or private, unless specifically authorized and approved by the Utility.
- (e) The Customer shall install a stop and waste cock of a type approved by the Utility on the service pipe immediately inside the foundation wall of the building supplied, and to be located so as to be easily accessible to the occupants and to provide proper drainage for all of the pipes in the building.
- (f) The Customer shall make all changes in his portion of the service pipe required on account of changes of grade, relocation of mains or other causes.
- (g) No fixtures shall be attached to or any branch made in the service pipe between the meter and the street main.
- (h) Each premises shall be supplied through an independent service pipe from a separate meter box and the applicant for service shall be solely responsible for all water used on and in said premises.

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RULES AND REGULATIONS

- (i) When more than one premises is supplied through a single service pipe, any violation of the Rules and Regulations of the Utility with reference to either or any of the said buildings or premises shall be deemed a violation as to all and the water service shall be discontinued after the Customer has been given at least ten (10) days written termination notice and reasonable opportunity allowed for each premises to attach their pipes to separately controlled service connections.
- (j) Any repairs or maintenance necessary on the Customer's service pipe or on any pipe or fixture in or upon the Customer's premises shall be performed by the Customer at his expense and risk.
- (k) In those instances where the Customer requires water pressure which differs from the standard pressure provided by the Utility he shall be required to install and maintain at his own expense the necessary equipment to provide the desired pressure. Such equipment and the installation thereof to be approved by the Utility. In no event, however, shall the pressure at the Customer's service pipe under normal conditions fall below thirty (30) psig nor shall the static pressure exceed 150 psig.

8. PLUMBING WORK MUST BE APPROVED BY COMPANY:

All plumbing work done in connection with the Utility's water mains or appurtenances shall be submitted for the inspection of the Utility, and no underground work shall be covered up until inspected and approved by the Utility. Whenever the Utility determines that a job of plumbing is obviously defective, although not in direct violation of these Rules and Regulations, the Utility will insist upon its being corrected before the water will be turned on.



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RULES AND REGULATIONS

9. METERS AND METER INSTALLATIONS:

- (a) The Utility shall specify the kind and size of meter to be installed.
- (b) Meters will be furnished, installed, and removed by the Utility and shall remain its property.
- (c) Each premises shall have a separate meter and the applicant for service shall be solely responsible for all water used on and in said buildings or premises.
- (d) Meters will be maintained by the Utility at its expense insofar as ordinary wear is concerned, but damage due to hot water, freezing (as a result of Customer's unauthorized removal of meter cover) or other external causes arising out of or caused by the Customer's negligence or carelessness shall be paid for by the Customer.



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10. METER TESTS AND TE	ST FEES:				

- (a) All meters are accurately tested before installation and are also periodically tested in accordance with the Public Service Commission's regulations. The Utility may at any time remove any meter for periodic tests or for repairs or replacement and may, at its option and expense, test any meter when the Utility has reason to believe that it is registering inaccurately.
- (b) The Utility shall make a test of the accuracy of any meter upon written request of the Customer, provided the Customer does not request such test more frequently than once in twelve months. For such request test, the fee as established herein shall be paid in advance by the complainant but should the said meter be found, upon said test, to be more than two (2) percent incorrect to the pre-judice of the Customer, the fee so charged shall be returned to the complainant.
- (c) In addition to the request tests of meters performed by the Utility, the Customer may, upon formal written application to the Public Service Commission accompanied by payment of the fees as set forth, have a test conducted by the Utility in the presence of an employee of the Commission, or by an employee of the Commission. Should the meter by found, upon said test, to be more than two (2) percent incorrect to the prejudice of the Customer, the total cost of such test shall be assumed by the Utility and the amount of the fee paid shall be returned to the Customer.
- (d) For test of meters made upon complaint of the Customer and performed by the Public Service Commission, the charges therefore shall be in conformity with the rules and charges prescribed by the Commission.

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P.S.C. Ky. No. 2

Revised Sheet No.15, 16, 17 & 18

Cancelling P.S.C. Ky. No. 1

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NICHOLAS COUNTY WATER DISTRICT

RULES AND REGULATIONS

11, 12, & 13. PUBLIC FIRE HYDRANTS (Including Private Fire Hydrants) and (Fire Hydrants in Unincorporated Areas)

Fire Protection Generally

- 1. On or after the effective date (June 7, 1992) of administrative regulation 807 KAR 5:066 Section 10(2)(b), fire hydrants may be installed by a Utility only if:
 - a. A professional engineer with a Kentucky registration has certified that the system can provide a minimum fire flow of 250 gallons per minute; and
 - b. The system supporting this flow has the capability of providing this flow for a period of not less than two (2) hours plus consumption at the maximum daily rate.
- 2. The location, installation, and the responsibility for maintenance of fire hydrants, public and private fire protection facilities, connecting mains, and their ownership may be subject to negotiation between the Utility and the applicant. Fire hydrants and public and private fire protection facilities shall be installed as required by the Utility and if owned by the Utility shall be subject to any conditions the Public Service Commission may impose, based upon the compensation received for this service.



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RULES AND REGULATIONS

14. DISCONTINUANCE OF WATER SERVICE:

- (a) Service rendered under any application, contract or agreement may be discontinued by the Utility after proper notification in accordance with 807 KAR 5:006 Section 14 for any of the following reasons:
 - (1) For willful or indifferent waste of water.
 - (2) For failure to protect the meter and its connections from injury or damage, or for failure to protect and maintain the service pipe or fixtures on the property of the Customer in a condition satisfactory to the Utility.
 - (3) For molesting or tampering by the Customer, or others with the knowledge of the Customer, with any meter, connections, service pipe, seal or any other appliance of the Utility controlling or regulating the Customer's water supply.
 - (4) For failure to provide the Utility's employees free and reasonable access to the premises supplied or for obstructing the way of ingress to the meter or other appliances controlling or regulating the Customer's water supply.
 - (5) For non-payment of any account for water service, or for meter or service maintenance, or for any other fee or charge accruing under the contract.
 - (6) In case of vacancy of the premises unless the owner requests that water be left on and pays a minimum monthly bill.
 - (7) For violation of any rule or regulation of the Utility.
- (b) The water service will be discontinued to any premises on account of temporary vacancy upon request of the Customer, without in any way affecting the agreement in force, after the payment of all charges and fees due as provided for in the rates, rules and regulations of the Utility.
- (c) Discontinuing the supply of water to any premises for prevent the Utility from pursuing lawful remedies by a continuing the collection of moneys due from the Current the

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RULES AND REGULATIONS

15. RENEWAL OF WATER SERVICE AFTER DISCONTINUANCE:

When water service to any premises has been terminated for any reason other than temporary vacancy, it will be renewed after the acceptance of a new application and when the conditions, circumstances or practices which caused the water service to be discontinued are corrected to the satisfaction of the Utility, and upon the payment of all charges due and payable by the Customer in accordance with the rates, rules and regulations. The Utility will then reconnect existing service within twenty-four (24) hours, and shall install and connect new service within seventy-two (72) hours.



PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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RULES AND REGULATIONS

16. TURN-ON CHARGE

- (a) When it has been necessary to discontinue water service to any premises because of a violation of the Rules and Regulations or on account of the non-payment of any bill; a charge will be made to cover the expense of turning on the water, and this charge together with any arrears that may be due the Utility for charges against the Customer must be paid before the water will again be turned on.
- (b) If at the time of such discontinuance of service, the Customer does not have a deposit with the Utility, the Utility may require a deposit as a guarantee of the payment of future bills before the water will be turned on.



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RULES AND REGULATIONS

17. BILLS FOR WATER SERVICE:

- (a) Customers are responsible for furnishing the Utility with their correct address. Failure to receive bills will not be considered an excuse for non-payment nor permit an extension of the date when th account would be considered delinquent.
- (b) All bills will be sent to the address entered in the application unless the Utility is notified in writing by the Customer of any change of address.
- (c) If requested in writing by the Customer, the Utility will send bills to and will receive payments from agents or tenants. However, this accommodation will in no way relieve the Customer of the liability for all water charges and the Utility shall not be obligated to notify the Customer of the non-payment of water bills by such agents or tenants.
- (d) Payments shall be made at the office of the Utility or at such other places conveniently located as may be designated by the Utility.
- (e) The Utility will not be bound by bills rendered under mistake of fact and to the quantity of service rendered.
- (f) The use of water by the same Customer in different premises or localities will not be combined, and each installation shall stand by itself.



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FOR Nicholas County, 1	Kentucky
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Revised Sheet No	23
Cancelling P.S.C. Ky.	No. 1
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RULES AND REGULATIONS

18. TERMS OF PAYMENT:

- (a) Special charges shall be payable upon demand.
- (b) Bills for metered service shall be rendered monthly and are due and payable when rendered.
- (c) Bills for private fire service shall be rendered monthly in advance and are due and payable when rendered.
- (d) If a bill is not paid within ten days after its due date, the Utility may discontinue the water service. The Utility will give at least five (5) days notice before termination for nonpayment and that service will not be terminated before 20 days after the mailing date of the original unpaid bill.



PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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FOR NICHOLAS COUNTY, KEN

P.S.C. KY. No. 2

Revised Sheet No. 24

Cancelling P.S.C. KY. No 1 Original Sheet No. 1-17

NICHOLAS COUNTY WATER DISTRICT

RULES AND REGULATIONS

19. ABATEMENTS AND REFUNDS:

- (a) If test results on a Customer's meter show an average error greater than two percent (2%) fast or slow, or if a Customer has been incorrectly billed for any other reason, except in an instance where a Utility has filed a verified complaint with the appropriate law enforcement agency alleging fraus or theft by a Customer, the Utility shall immediately determine the period during which the error has existed, and shall recompute and adjust the Customer's bill to either provide a refund to the Customer or collect an additional amount revenue from the underbilled Customer. The account adjustment shall be performed according to 807 KAR 5:006 Section 10(2) with corrected billing or refund as directed therein.
 - (b) Each Customer is entitled to one adjustment (due to a leak) per 12 month period for one billing cycle only. In order to qualify for an adjustment, the customer has 30 days (from the time the water district notifies the customer) to repair the leak. The adjustment will equal an average monthly bill, plus the actual cost of the additional water lost.

20. BOILER AND ENGINE WATER SUPPLY:

The Utility does not guarantee a sufficient or uniform pressure, or an uninterrupted supply of water, and Customers are cautioned to provide a sufficient storage of water where an absolutely uninterrupted supply must be assured; such as for steam boilers, hot water systems, gas engines, etc.

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FOR Nicholas County,	Kentucky
P.S.C. Ky. No	2
Revised Sheet No.	
Cancelling P.S.C. Ky.	No. 1
Original Sheet No.	1 - 17

RULES AND REGULATIONS

21. INTERRUPTIONS IN WATER SUPPLY:

The Utility may at any time shut off the water in the mains in case of accident, or for the purpose of making connections, alterations, repairs, changes, or for other reasons, and may restrict the use of water to reserve a sufficient supply for public fire service or other emergencies whenever the public welfare may require it.

22. LIABILITY OF COMPANY:

- (a) The Utility will undertake to use reasonable care and diligence in order to prevent and avoid interruptions and fluctuations in the service, but it cannot and does not guarantee that such will not occur.
- (b) The Utility shall in no event be liable for any damage or inconvenience caused by reason of any break, leak or defect in the Customer's service pipe or fixtures.



PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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P.S.C. Ky. No	
Revised Sheet No.	26
Cancelling P.S.C. Ky.	No. 1
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RULES AND REGULATIONS

23. CROSS CONNECTIONS AND INTERCONNECTIONS:

- (a) A cross-connection is any pipe, valve, or other arrangement or device connecting the pipelines of the Utility or facilities directly or indirectly connected therewith to and with pipes of fixtures supplied with water from any source other than the lines of the Utility directly connected.
- (b) An interconnection is a plumbing arrangement, other than a cross-connection, by which continuation might be admitted or drawn into the distribution system of the Utility, or into lines connected therewith, used for the conveyance of potable water.
- (c) No cross-connection or interconnection will be made by Customer without the approval of the State Health Department and the Utility.



PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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FOR Nicholas County, Kentucky
P.S.C. Ky. No. 2

Revised Sheet No. 27
Cancelling P.S.C. Ky. No. __

Original Sheet No. 1 - 17

NICHOLAS COUNTY WATER DISTRICT

RULES AND REGULATIONS

24. GENERAL

- (a) The service pipes, meters and fixtures on the Customer's premises shall at all reasonable hours be accessible to the Utility for observation or inspection.
- (b) No person shall turn the water on or off at any street valve, corporation cock, meter or other street connections, or disconnect or remove any meter without the consent of the Utility. Penalties provided by law for any such action will be rigidly enforced.
- (c) Employees or agents of the Utility are expressly forbidden to demand or accept any compensation for any service rendered to its Customers except as covered in these Rates, Rules and Regulations.
- (d) No employee or agent of the Utility shall have the right or authority to bind it by any promise, agreement of representation contrary to the letter or intent of these Rules and Regulations.
- (e) Any complaint against the service or employees of the Utility should be made at the office of the Utility and preferably in writing. If a complaint, either written, in person, or by telephone is not resolved, the Utility shall advise the complainant of his right to file a complaint with the Public Service Commission and provide him/her with their address and telephone number.

25. APPROVAL OF THE RULES AND REGULATIONS:

All Rules and Regulations of the Utility are subject to the approval of the Public Service Commission of the State of Kentucky and if any part thereof should be adjudged to be in violation of any rule or order made by the Commission, then that particular part shall be ineffective but without in any way affecting the other portions thereof.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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FOR Nicholas County	, Kentucky
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Original Sheet No.	

RULES AND REGULATIONS

26. MAIN EXTENSIONS:

- (a) The Utility will extend existing distribution mains a distance of fifty (50) feet for each bona fide prospective customer, making application for service connection and water service therefrom for a period of one year or more under these Rules and Regulations. Such extensions will be made without cost to such customer(s).
- (b) When an extension greater than fifty (50) feet in length for each bona fide prospective customer is required or requested such extension will be made under the terms of an "Extension Deposit Agreement" as hereinafter set forth. The Utility shall have the exclusive right to determine the type and size of mains to be installed and of the related facilities required to render adequate service.
- (c) In determining the length of any extension required pursuant hereto the terminal point of such extension shall, in all cases, be at that point in the curb line of the last lot on which is located a premises to be served which is equidistant from the side property lines of said lot.
- (d) Before water lines are laid in new subdivisions, the subdivider shall furnish the Water Utility with a plat (or plan) or the subdivision approved by the City-County Planning and Zoning Commission, and the plat (or plan) shall have been recorded in the County Court.



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PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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FOR Nicholas County,	Kentucky
P.S.C. Ky. No	2
Revised Sheet No	29
Cancelling P.S.C. Ky.	No. 1
Original Sheet No.	1 - 17

P.O. Box 304, CHIBLE SERVICE COMMISSION MANAGER

BY:

Address

	RULES AND REGULATIONS
27.	FORM OF EXTENSION AGREEMENT:
	THIS CONTRACT made and entered into this the day of by and between East Knox County Water District, hereinafter referred to as the Utility, and, hereinafter referred to as the Customer.
	WITNESSETH
	WHEREAS, Customer desires water service be made available to
	as shown on the attached print and marked Exhibit A, and
	WHEREAS, the Utility is willing to make this water main extension from their existing main located on the, as shown on the attached Exhibit A.
	NOW, therefore for and in consideration of the above premises the mutual agreement and benefits herein contained, parties hereto agree as follows:
	1. The Utility agrees that it will secure the necessary material and install the main extensions hereinafter described and shown on the attached print and marked Exhibit A.
	2. The amount and size of pipe and fittings required are as follows:
	3. It is further agreed that for each customer connected to this main extension the Utility will refund to the Customer a sum equivalent to the cost of 50' of this extension, or per customer connected to said extension.
	4. This contract applies only to customers connected to above size pipe, and shown on attached plan, Exhibit A.
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FOR Nicholas County,	<u>Kentucky</u>
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Revised Sheet No.	30_
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Original Sheet No.	1 - 17

RULES AND REGULATIONS

- 5. Such refund to Customer shall be made in December of each year for water customers connected to this water main extension, but in no event shall the refund be extended beyond ten (10) years from the date of this contract, and in the event that the total amount deposited by the Customer with Utility is not refunded under the terms specified hereinbefore within said ten (10) years period the Utility shall not be required to pay or refund the difference between the total amount of refund and the total amount deposited by Customer, any balance remaining in its hands shall belong to the Utility.
- 6. The Utility shall not be required to pay interest on the deposit or any part of unpaid balance thereof.
- 7. Nothing in this agreement shall give the Customer any right, title or interest in the ownership or operation of this water main extension or facilities to be installed by Utility, as herein provided.

IN WITNESS WHEREOF the parties hereto have executed this agreement this day and year written above.

WITNESS:	Nicholas County Water District
WITNESS:	CUSTOMER:
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